

**IAP6 Rec'd PCT/PTO 26 SEP 2006**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: September 22, 2006 Signature: James J. Napoli

(James J. Napoli)

Docket No.: 27866/38267A  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Lisa M. Schultze et al.

Application No.: 10/554,135

Filed: October 20, 2005

For: Method of Preparing a Ring Compound Having  
Two Adjacent Chiral Centers

Confirmation No.: 9361

Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements mailed July 28, 2006,  
Applicant respectfully submits a Declaration.

Our check in the amount of \$130.00 covering the fee set forth in 37 CFR 1.16(f) is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 27866/38267A. A duplicate copy of this paper is enclosed.

Dated: September 22, 2006

Respectfully submitted,

By James J. Napoli  
James J. Napoli

Registration No.: 32,361  
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10/02/2006 6FREY1 00000162 10554135

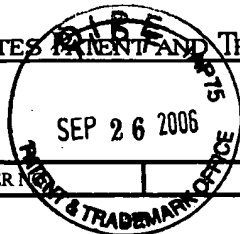
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UNITED STATES PATENT AND TRADEMARK OFFICE



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United States Patent and Trademark Office  
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U.S. APPLICATION NUMBER	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/554,135	Lisa M Schultze	27866/38267A

INTERNATIONAL APPLICATION NO.
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PCT/US04/12128

I.A. FILING DATE	PRIORITY DATE
04/19/2004	04/25/2003

4743

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AUG 07 2006

MARSHALL GERSTEIN

CONFIRMATION NO. 9361

371 FORMALITIES LETTER



\*OC000000019792419

Date Mailed: 07/28/2006

Docketed:

*9/28/06*

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/20/2005
- Copy of the International Search Report filed on 10/20/2005
- Preliminary Amendments filed on 10/20/2005
- U.S. Basic National Fees filed on 10/20/2005
- Priority Documents filed on 10/20/2005
- Specification filed on 10/20/2005
- Claims filed on 10/20/2005
- Abstracts filed on 10/20/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/554,135	PCT/US04/12128	27866/38267A

FORM PCT/DO/EO/905 (371 Formalities Notice)